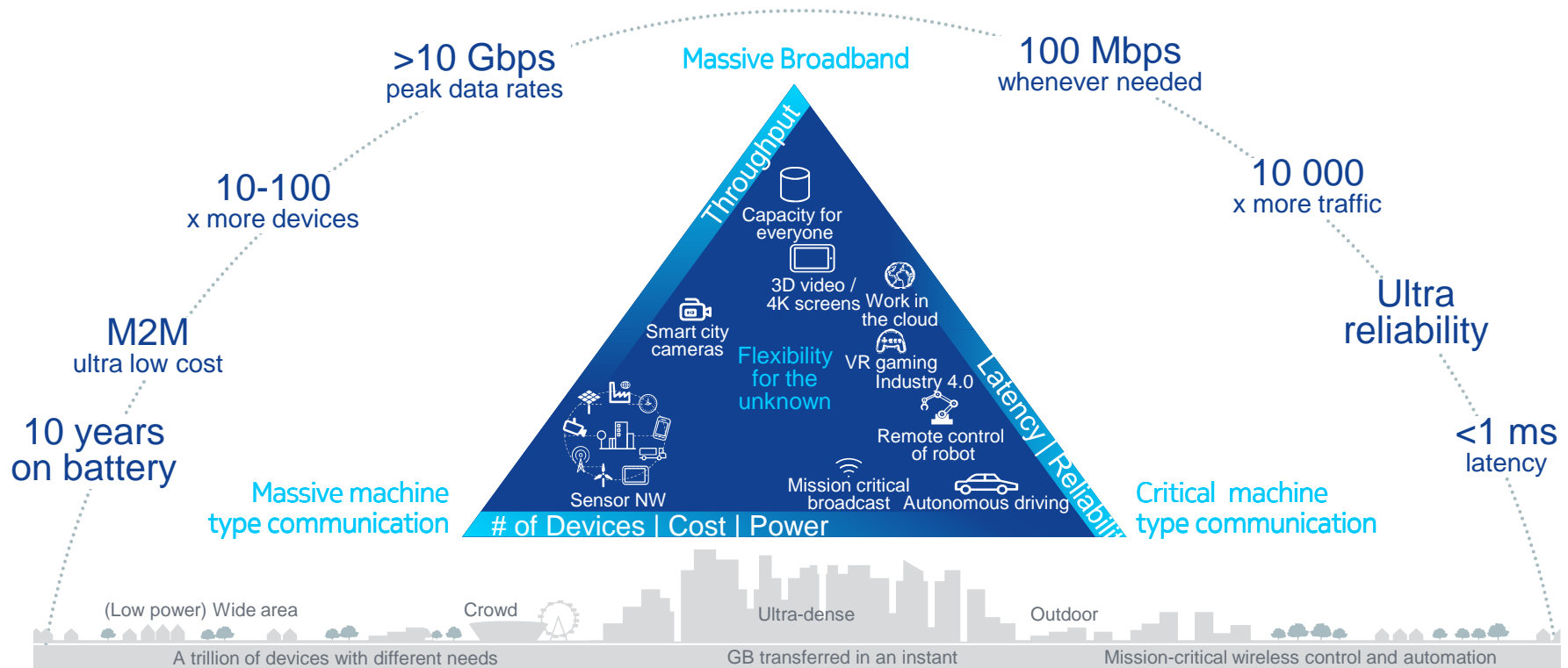


# Air interface evolution towards 5G

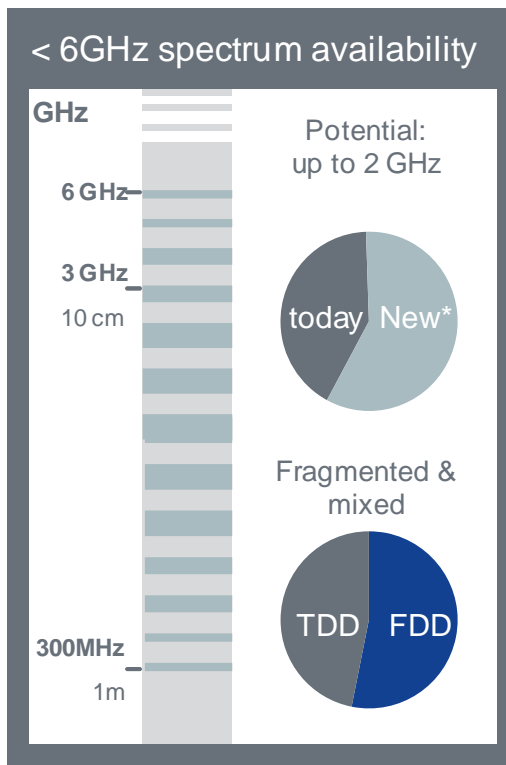
Presenter: Klaus I. Pedersen, Nokia Networks

# 5G will enable very diverse use cases with extreme range of requirements



## 5G is to enable above 6 GHz & optimize below 6 GHz access

- 5G to be initially deployed below 6 GHz due to band availability



### WRC

**2015:** Some additional bands <6GHz to be identified – in time for 2020 deployments

**2019:** Expected to identify >6GHz bands – too late for 2020 deployments

### Bands

3...6 GHz unpaired band is candidate for first 5G deployments.

Ready for > 6 GHz unpaired bands

Easily extensible to paired bands, also under 3 GHz

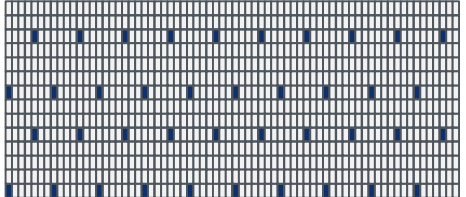
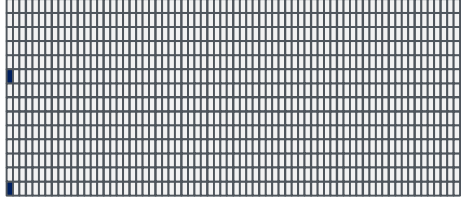
- 100-200 MHz carrier bandwidth supported
- High degree of spectrum flexibility required (fragmented spectrum)
- Carrier aggregation / dual connectivity, also with LTE bands

## Lean Carrier Design

Lean carrier = no unnecessary transmission of wideband control data.

- Less inter-cell interference
- Lower BTS power consumption
- Lower UE and IoT device power consumption with narrowband AD converter

### Transmission activity in empty cell

LTE		Continuous transmission of common reference signals	Current solution
5G		No unnecessary transmissions in empty cell	Lean carrier

## Flexible Frame Structure

### Solutions

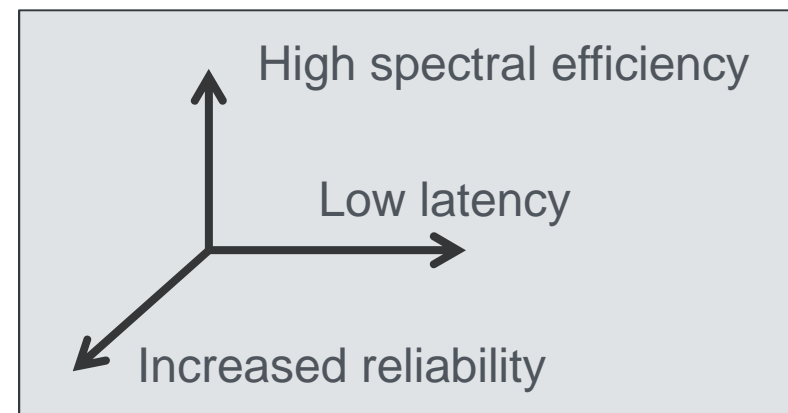
- Flexible frame size
- Flexible control channel
- Beamforming optimized
- Flexible TDD asymmetry

### Benefits

- Latency <1 ms
- Efficient mux of users with diverse requirements.
- More efficient interference management
- Higher beamforming gain

Flexible trade-offs between enhanced spectral efficiency, low latency and increased reliability.

**Practical LTE  
latency 10-20 ms**



## Example of flexibility in terms of variable TTIs

### Fundamentals:

- Short RTT calls for a short TTI size.
- The relative control overhead is larger for short TTI sizes.
- Longer TTI allows higher TBS, better time diversity, efficient FEC.

### Broadcast use case:

Scheduled with long TTI size to maximize FEC gains from time-diversity.

### Low cost MTC use case:

- Scheduled on moderate BW with longer TTI size
- Low BW and long TTI is attractive from cost and coverage p.o.v.

### MCC use case:

- Short TTI size to meet latency requirements.
- TTI size adapted according to latency constraints.

### MBB use case:

Start TCP sessions with short TTI size to quickly overcome the slow-start phase, followed by using medium size TTI to minimize control overhead.

**Observation:** A flexible frame structure with dynamic adjustment of TTI size per user is *one possibility*.

## Waveforms – Flexibility for Different Services

### Use case

Mobile broadband  
synchronous transmission

TDD beamforming  
optimization

Base station power  
efficiency

Small packet asynchronous  
transmission

### Optimization target

Spectral efficiency

Same waveform in  
uplink and downlink for  
beamforming

Low RF requirements  
(peak-to-average-power)

Low overhead for small  
packets

### Waveform

Similar solution as in LTE like  
OFDMA and SC-FDMA

Uplink and downlink  
harmonization

Single carrier solution for high  
bands in downlink (Zero Tail is  
similar to single carrier)

Other solution could be  
considered

## Overview of New Waveform Options

<p><b>OFDM</b></p> <ul style="list-style-type: none"> <li>• Low transceiver complexity</li> <li>• Simple MIMO</li> <li>• Frequency domain scheduling</li> </ul>	<p><b>SC-FDMA</b></p> <ul style="list-style-type: none"> <li>• Low amplifier requirements</li> <li>• Advanced receiver required</li> <li>• Limited frequency scheduling</li> </ul>	<p><b>LTE solution</b></p> <ul style="list-style-type: none"> <li>• Downlink OFDM</li> <li>• Uplink SC-FDMA</li> <li>• OFDM fine for synchronous transmission also in 5G</li> </ul>
<p><b>ZT-DFT-OFDM (Zero Tail)</b></p> <ul style="list-style-type: none"> <li>• Lower out of band emissions</li> <li>• Similar performance as SC-FDMA</li> </ul>	<p><b>FBMC (Filter Bank Multicarrier)</b></p> <ul style="list-style-type: none"> <li>• Lower out of band emissions</li> <li>• No Cyclic prefix overhead</li> <li>• MIMO extension difficult</li> </ul>	<p><b>New waveforms</b></p> <ul style="list-style-type: none"> <li>• Lower emissions</li> <li>• Less Cyclic Prefix overhead</li> <li>• Some gain for asynchronous transmission like IoT</li> <li>• Flexibility for different subcarrier spacings</li> <li>• Good to have same solution in uplink and downlink</li> </ul>
<p><b>GFDM (Generalized Frequency)</b></p> <ul style="list-style-type: none"> <li>• Lower out of band emissions</li> <li>• Low Cyclic prefix overhead</li> <li>• High receiver complexity</li> </ul>	<p><b>UFMC (Universal Filtered)</b></p> <ul style="list-style-type: none"> <li>• Lower out of band emissions</li> <li>• High receiver complexity</li> <li>• Robust to frequency offset</li> </ul>	



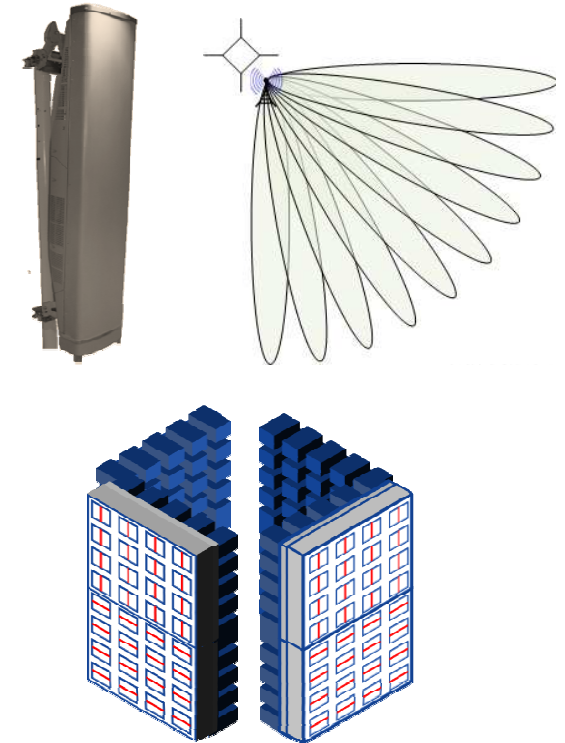
## UE Agnostic Massive MIMO and Beamforming

### UE agnostic MIMO

Network capacity can be upgraded with base station MIMO without new 3GPP definitions and without new devices. This solution applies for any frequency bands.

### Massive MIMO

Large number of antenna ports (>32) can be utilized at high frequency bands to boost the link performance and minimize interference.  
Grid-of-beams (GoB).  
Hybrid eNB antenna architectures.



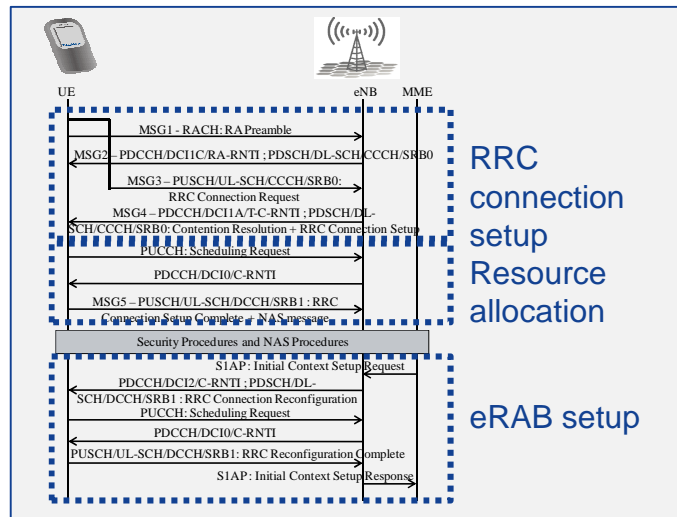
## Small Packet Efficiency – Massive MTC access

### Solutions

- Contention based transmission
- Coding scheme enhancements
- Session on demand

### Benefits

- Lower synchronization requirements
- Faster decoding for small packets
- Minimized signalling overhead



- LTE: more than 10 radio signalling messages required for call setup, and additional signalling for call release
- LTE: uplink synchronization, capacity request and resource allocation required
- Potential for improvements!

## Mission Critical Communication (MCC) – Ultra Reliability

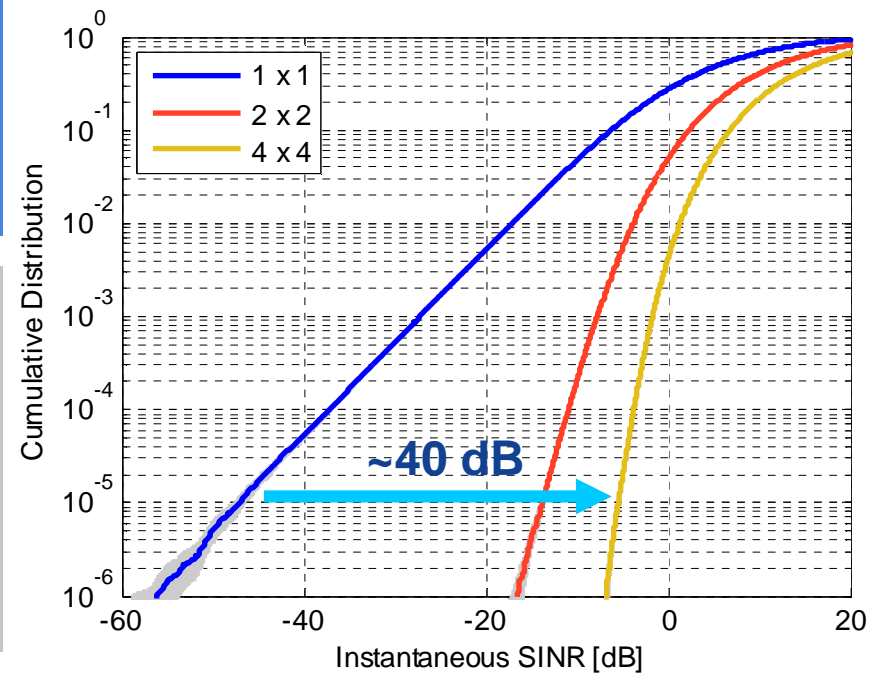
### Ultra reliable communication:

Successful transfer of a payload of  $B$  bits within a time of  $T$  seconds with high probability (e.g. 99.999%).

### Possible enablers (examples):

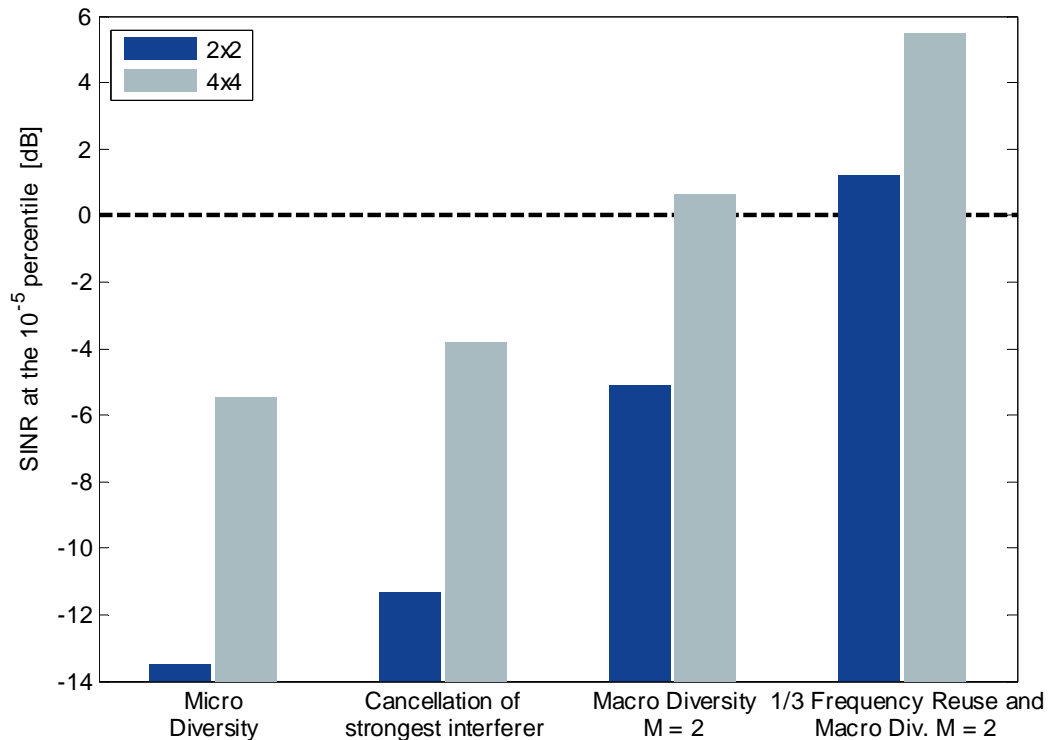
- Diversity and redundancy
- Short TTI sizes, robust control CH
- Efficient error correction coding
- Active interference management
- Cell densification

SINR statistics for different MIMO options:



## Example of SINR Outage Performance

Results for a traditional three-sector macro scenario



SINR target at 0 dB is a reasonable value for reliable low data rate communication.

Reaching the 0 dB SINR target with high reliability ( $10^{-5}$  outage) requires both high order microscopic and macro-scopic diversity.

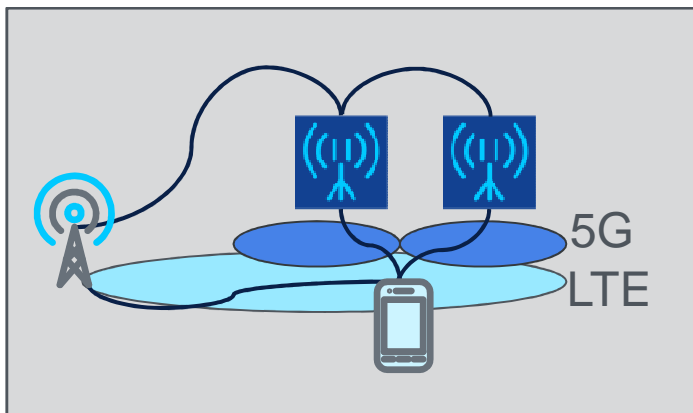
Interference cancellation and/or resource partitioning helps as well.

## Multi-Node and Multi-Technology Aggregation

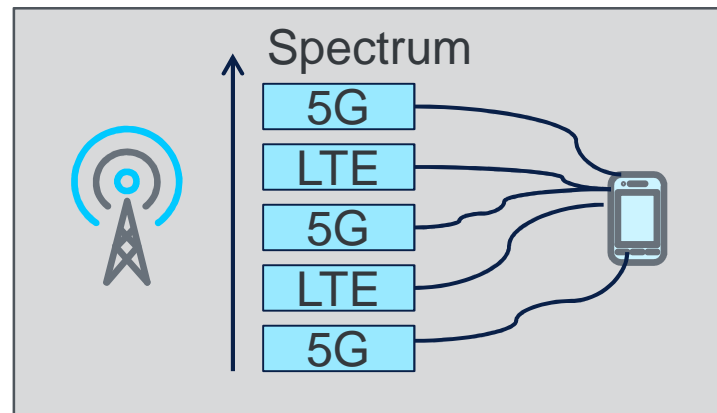
5G can be aggregated together with LTE both from different sites and from multiple bands

- Smooth 5G introduction
- Simpler refarming
- Higher user data rates

### Multi-site aggregation



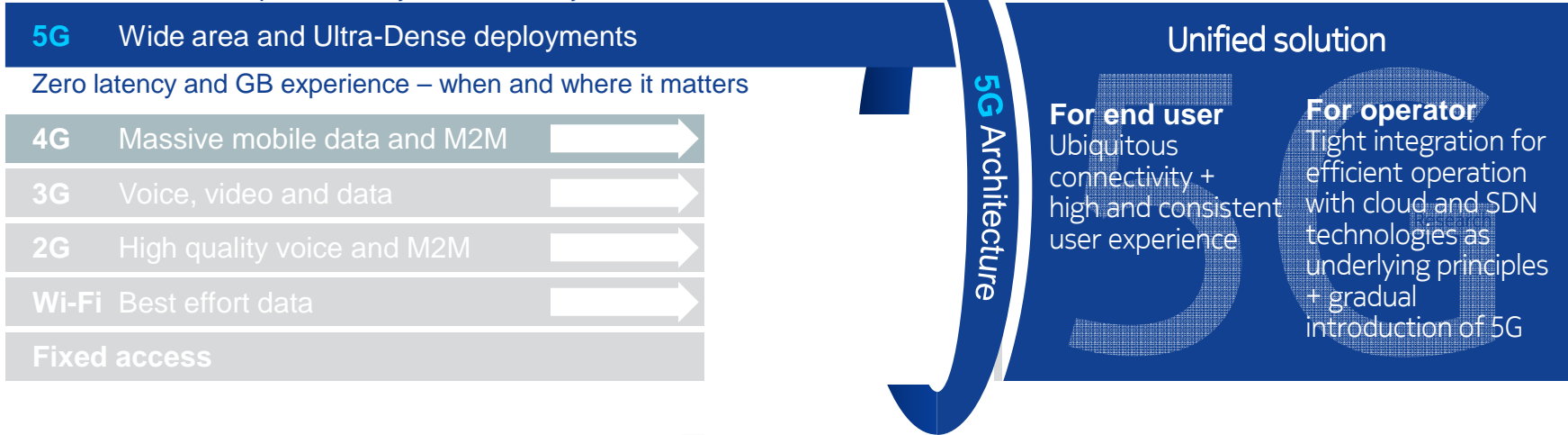
### Multi-band aggregation



# A symbiotic integration of novel and existing access technologies

## Nokia 5G system vision

Scalable service experience anytime and everywhere



5G for people and things  
New performance levels 2020+

5G

Research



“It is dangerous to put  
limits on wireless” (1932)  
**-Guglielmo Marconi**

NOKIA